Approved For Release 2001/08/13 : CIA-RDP78-07317A00010029000

RECORDS MANAGEMENT PROGRAM

RECORDS CONTROL SCHEDULE FOR THE

DEPUTY DIRECTOR/SCIENCE AND TECHNOLOGY

OFFICE OF ELINT



Approved For Release 2001/08/13 PIA RDP78-07317A000490290001-2

SD - 030 - 74

31 JAN 1974

Records Administration Branch, DD/M&S MEMORANDUM FOR:

THROUGH:

DD/S&T Records Management Officer

SUBJECT:

Records Control Schedule 92-70

The Office of ELINT (OEL) requests approval to amend our records control schedule (92-70). The new amendment provides for a new category of records, i.e., Cable Reference Files and the associated disposition instructions. If this new category is adopted as submitted, then paragraph c, Item 3, Chronological files should be deleted from the schedule.

is required please

contact Mr.

25X1A

Ohi'ef, Support Division OEL/DD/S&T

Attachment:

Records Control Schedule (3 pages)

> 25X1A CLASSIFIED BY EXEMPT FROM GENERAL DECLASSIFICATION SCHEDULE OF E. G. 11852, EXPANSION CATEGORY; § 5B(1), (2), (3) or (3) (circle one or more) AUTOMATICALLY DECLASSIFIED ON

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~ ~ ~	nage their o	to those of OEL, as well own cable secretative of each	as OSA 8	ncy Cable OD&E,	STAT
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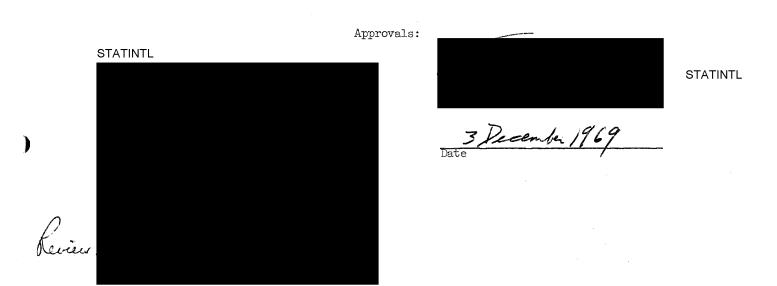
only a few years but Sal insisted on the 25 years because they are relatively new Approved FondRelease 2001/98/d3e Clas RPP8 073176000100290001-2 these reference files will prove to be of value. He did not want them prematurely destroyed without a longer period of time to prove their value or worthlessness. The record copies of cables from each secretariat are filed in the project or other substantive files. Experience has shown me that perfection in filing records copies where they belong is not always 100% foolproof. I'm inclined to go along with Sal on the 25 years since the schedules will be reviewed periodically in the future and this item will be reviewed again and again with each review.

Pat

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RECORDS DISPOSITION AUTHORITY

Records Control Schedule 92-70 for the Office of ELINT is approved and authority hereby given to implement the disposition instructions therein.



	RECORDS CONTROL SCHEDULE	RECORDS CONTROL SCHEDULE SCHEDULE NO. 92-70 STATINTL		STATINTL
OFFICE	. DIVISION, BRANCH		S I GN A	3 NOV 1969
	Office of ELINT/DD/S&T		TITLE Chief of Staff/OEL	3 NUV 1905
ITEM NO.	FILES IDENTIFICATION (TITLE, DESCRIPTION, ARRANGEMENT, AND INCLUSIVE DATES)	VOLUME (CUBIC FT.)	DISPOSITION II	NSTRUCTIONS
1	Consists of correspondence, memoranda, cables, dispatches, etc. which document the policies, planning and coordination of the Agency's ELINT operations. This file also includes records that reflect the internal organization and policies necessary to the coordination and direction of offices within OEL. These records are held subjectively by the Executive Offices of ELINT for the Director of ELINT.* Period covered - 1965 to present.	5.0	Permanent: Disposal in accordance with G Schedule 19, Item 1. annually or at such considerations rende of these records in impracticable. Reti Archives and Records	eneral Records * Break files times as volume r the retention active file space re to the Agency
	*These files are held by the Executive Offices, which include the Staff, Analysis Division (AND), Air Systems Division (ASD), Ground Systems Division (GSD), or Special Systems Division (SSD).		*General Records issued by the General istration as disposi records common to se ernmental Agencies u Disposal Act of 1943 Records Act of 1950.	1 Services Admintion standards for veral or all Gov- nder the Records and Federal

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2	Regulatory Issuances		
	A. OEL Issuances Consists of complete set of Office of ELINT (OEL) Directives and Notices which constitutes the OEL records set. Period covered - 1962 to present.	1.0	Permanent: Disposal not authorized in accordance with General Records Schedule 16, Item 1. Maintain on a current basis. Retain superseded issuances for an additional 1 year period. Retire to the Agency Archives and Records Center.
	B. Other These files contain regulatory issuances of the DD/S&T and the Agency. Period covered - 1962 to present.	2.0	Temporary - These issuances are non-record to the Office of ELINT. Retain on a current basis. Destroy when superseded, obsolete, or when no longer needed for reference purposes.
3	Chronological Files These files consist of copies of correspondence and memoranda originated in OEL. These records are filed chronologically by date and numerically. Covering the period 1962 to the present as follows:	ıg	
A19	A. Files dated prior to and through These files constituted the Policy Files of the Director of ELINT (D/OEL) prior to 1965.	13.0	Permanent: Disposal not authorized in accordance with General Records Schedule 19, Item 1. When no longer needed for reference purposes, retire to the Agency Archives and Records Center.
	B. Files dated subsequent to 1965 and serve only as background and reference sources.	20.0	Temporary: Break files annually, retain an additional 2 years and destroy.
i i	C. These files consist of extra copies of cables originated in or received by OEL. These cables are filed chronologically. Period covered - 1968 to present.	15.0	Temporary: Break file annually, retain 6 months and destroy.
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4	Planning, Programming and Budget Files A. Policy and Program Files These files are concerned with OEL budget formulation and execution, audits and other matters concerning program management, funding, and development for OEL. These files are held subjectively by the Executive Offices of ELINT for the D/OEL. Period covered - 1966 to present.	3.0	Permanent - Disposal not authorized in accordance with General Records Schedule 5, Item 1. Break files annually or at such time as volume considerations render the retention of these files in active office space impracticable. Retire to the Agency Archives and Records Center.
12	B. Subject Files These files consist of correspondence and other documents related to the administration of the PPB Section, as well as the development of program documents and budgets. These files are held subjectively by the Executive Offices of ELINT for the D/OEL. Period covered - 1965 to present.	3.0	Temporary - Disposal authorized in accordance with General Records Schedule 5, Item 3. Break files annually or at such time as volume considerations render the retention of these records in active file space impracticable. Hold an additional year and destroy.
5	These files contain pertinent information to OEL. These files are case files arranged alphabetically by project name and consist of memoranda, correspondence, dispatches, reports, copies of proposals, project outlines, budget information, progress reports, etc., pertaining to OEL. Period covered - 1961 to present.	121.0	Permanent - Disposal not authorized in accordance with General Records Schedule 19, Item 3. Retain on a current basis. When no longer needed for reference or operational purposes, screen for duplicate copies and extraneous materials and retire to the Agency Archives and Records Center.

FORM NO. 139a USE PREVIOUS DAN 56

	ITEM NO.	F Approved Fon Release 2001/08/13 : CIA	-RDP7/6-0	7317A00010029090\$1>26sition instructions
STATINT	7L 6	Training facility operated and main-tained by OEL. Files consist of training material, budget, travel, chrono, personnel cables, transmittal manifest, organization and policy files. Period covered - 1963 to present.	3.0	Permanent - Disposal not authorized in accordance with General Records Schedule 19, Item 3. Retain on a current basis. When no longer needed for reference or operational purposes screen for duplicate copies and extraneous materials and retire to the Agency Archives and Records Center.
)	7	Contain records necessary to the general administration and operation of OEL. This relates to the following subjects - appropriations, briefings, committees, logistics, organization, management, personnel, security, training, travel, equipment, supplies, dispatches, liaison, budget, collection policies, etc. Filed alphabetically by subject. Period covered - 1963 to present.	50.0	Temporary - Disposal authorized by General Records Schedule 19, Item 9. Break files annually, or at such time as volume considerations render the retention of these records in active file space impracticable. Hold an additional year and destroy.
25X1A	8	Consist of classified and unclassified publications used for reference purposes. These files include technical catalogs, electronic handbooks, NIE's, TMs, publications, technical manuals, technical operating manuals, articles from contractors; WAC charts; ONI, OSI, FMSAC, SIC Reports; frequency allocations; publications index. Also included are memoranda, correspondence, briefing materia country or area files, signal and radar files, aircraft files, USSR general files, flight date files, etc.	630.0	Temporary - Disposal authorized in accordance with General Records Schedule 16, Item 10. Retain on a current basis. Dispose 6 months after final action on project, or 3 years after completion of report if no final action taken, or when no longer needed for reference purposes.

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9	These files consist of case files of SIGINT Committees, including copies of correspondence, telegrams and other documents reflecting SIGINT Committee Action, copies of S and SC series of USIB publications, as well as NSA and Ad Hoc working group of ELINT Committees' material. These records are maintained for background and reference use only. USIB maintains the record copies for the Agency. These files are maintained subjectively by the Executive Offices of ELINT for the D/OEL. Period covered - 1963 to present.	4.0	Temporary - Disposal authorized by General Records Schedule 19, Item 2b. Destroy when superseded or obsolete or upon termination of membership or when no longer needed for reference purposes.
10	This room serves as the OEL briefing and support center for all OEL activities. The material held in this activity consists of Joint Intelligence Community publications, teletypes, cables, correspondence, pertaining to Electronic Order of Battle, Missile Engineers' Handbook, Jones' World Aircraft, Radar Systems, Dictionary of Guided Missiles and Space Flight, Maps, graphics, charts, other large size material 5" x 8" Eltex cards used in the analysis of ELINT data, and project books which provide a reference document to OEL projects. These materials are used as briefing aids by the D/OEL and members of his staff. Period covered - present.	16.0	Temporary - Disposal authorized in accordance with General Records Schedule 19, Item 14. Destroy when superseded, obsolete, or no longer needed for reference purposes, except for library materials. Return to CIA library.

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. 11	Administrative Files These files are those of the Support Branch, OEL, and consist of correspondence and other documents as follows:		
	A. Subject Files These files consist of documents related to the general administration of OEL, including organization and management, briefings, and other personnel, security, and logistical matters. These documents are filed by subject. Period covered - 1963 to present.	10.0	Temporary - Disposal authorized in accordance with General Records Schedule 19, Item 9. Break files annually, or at such time as volume considerations render the retention of these records in active file space impracticable. Hold an additional year and destroy.
* * * * * * * * * * * * * * * * * * *	These files are those maintained in the Support Branch, as the "soft files" for OEL personnel, and are not the official personnel files of the Agency. These files contain personnel actions, fitness reports, forms, correspondence, cables, and other documents pertaining to applicants, assignments, training, processing, and other matters concerning the administration of OEL personnel. These files are maintained alphabetically. Period covered 1963 to present.		Temporary - Disposal authorized in accordance with General Records Schedule 1, Item 18. Maintain on a current basis. Retain for 6 months after termination or transfer of the employee. Screen and forward material not previously forwarded to the Records and Services Division, Office of Personnel, or the gaining office.
	C. Budget and Finance Files These files consist of instructions, narrative and statistical statements or requirements, preliminary estimates, office estimates, copies of budget presentations and related papers. These papers are filed subjectively. Period covered - 1963 to present.	11.0	Temporary - Disposal authorized in accordance with General Records Schedule 5, Item 4. Break files annually at the end of the fiscal year. Dispose of these records 1 year after the close of the fiscal year covered by the respective budget.

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ITEM NO.	F Approved For Release 2001/08/13 : CIA	-KIDIKUMB-U	7317AUUU1UU29UUUEPOSITION INSTRUCTIONS
11	Administrative Files (Cont'd)		
	D. <u>Logistics Files</u> 1. Subject Files - These files	8.0	Temporary - Disposal authorized in accordance with General Records Schedule 3, Item 3. Break files
	1. Subject Files - These files consist of correspondence and other documents pertaining to the Support Branch. These files are maintained subjectively by the Executive Offices of ELINT for the D/OEL. Period covered - 1963 to present.		annually, retain an additional year and destroy.
	2. Procurement and Supply Files - These files consist of requisitions, property accountability records, and other documents pertaining to procure- ment and supply functions. These files are maintained subjectively and numerically. Period covered - 1963 to present.	17.0	Temporary - Disposal authorized in accordance with General Records Schedule 3, Items 9 and 10. Retain on a current basis and 2 years after the completion of an action, audit, or file closing.
	3. Reference Files - These files consist of handbooks, manuals, catalogs, and other reference publications relative to the procurement and supply function. These publications are maintained by subject. Period covered 1963 to present.	5.0	Temporary - Maintain on a current basis. Destroy when superseded, or obsolete, or no longer needed for reference purposes.
12	Document Control Files These files contain Forms 238, 312, and 1225 used to record the receipt, routing and disposition of documents received in or forwarded by OEL. These files are maintained either alphabetically by source or numerically by control number. Period covered - 1963 to present.	17.0	Temporary - Disposal authorized in accordance with General Records Schedule 18, Item 3. Retain until the respective document has been either destroyed or transferred from OEL, then remove to an inactive file. Purge the inactive file every 2 years.

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13	Mail Control Files These files consist of Courier Receipt Forms 240 and 240a, Form 615, and others used for the purpose of document receipt accountability. These files are main- tained either by source or control number. Period covered - 1963 to present.	12.0	Temporary - Disposal authorized in accordance with General Records Schedule 12, Items 6 and 7. Maintain for 2 years and destroy.
14	Requirements and Evaluation Files These files consist of copies of ELINT requirements from USIB, DIA, OSI, FMSAC, etc., used in the preparation of semiannual evaluations of ELINT projects. These files are also used in establishing justification for the renewal of ELINT collection projects. Record copies of the USIB requirements are maintained by the USIB. Period covered - 1961 to present.	10.0	Temporary - Disposal authorized in accordance with General Records Schedule 16, Item 10. Retain on a current basis. Retire to inactive files 6 months after final action on the requirement. Hold in inactive files for 3 years and destroy.
15	These files consist of copies of unsolicited proposals to be considered for research and development contracts. Included is information on bidder's capabilities, technical approaches to the problem, and other related material. These records are maintained alphabetically by the Division Project Officers. Period covered - 1963 to present.		
2	A. Proposals resulting in authorized projects. Approved For Release 2001/08/13: CIA	5.0	accordance with General Records Schedule 19, Item 12a. Transfer successful proposals to respective project file.

ITEM NO.	FiApproved For Release 2001/08/13 : CIA	-RDR78-0	7317A00010029000162 ition instructions
. 15	Contract Proposal Files (Cont'd) B. Rejected proposals.	10.0	Temporary - Disposal authorized in accordance with General Records Schedule 19, Item 12b. Retain in inactive files for 1 year, and retire to the Agency Archives and Records Center. Destroy 4 years after receipt in the Records Center.
16	Contract Progress Report Files These files consist of duplicate copies of reports concerning progress being made on active research and development projects. These records are maintained alphabetically by the Division Project Officers. Period covered - 1964 to present.	10.0	Temporary - Disposal authorized in accordance with General Records Schedule 19, Item 14. Destroy when no longer needed for reference, or when superseded or obsolete.
1 / A	These files consist of external service contracts, task orders, reports and related correspondence covering services rendered by outside contractors on technical equipment in support of ELINT operations. These files are maintained alphabetically by contractors. Period covered - 1964 to present.	5.0	Temporary - Disposal authorized in accordance with General Records Schedule 3, Item 4a.1. Place in inactive files on final payment. Retain an additional year and retire to the Agency Archives and Records Center. Destroy 5 years after receipt in the Records Center.
	Top Secret Control File Consists of copies of Form 36 used in lieu of log to record receipt, internal routing, and final disposition of Top Secret material received by, originated within, or dispatched within OEL. Period covered - 1963 to present.	1.0	Temporary - Disposal authorized by CIA Top Secret Control Officer, Office of Security, ruling of 12 August 1964. Destroy 10 years after documents shown on form are downgraded, destroyed transferred to the Agency Archives and Records Center, or sent outside the control point.

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ELINT Data Files		
Consists of materials collected and maintained by individual analysts and used in the analysis of intelligence toward the preparation of final reports. Material consists of field operator logs, navigation plots, overlays, dispatches, cables, laboratory analysis, work reports, magnetic tapes of ELINT missions, etc. These are filed numerically by the field assigned mission number.		
A. Mission folders that document final reports of each ELINT collection mission. Period covered - 1961 to present.	33.0	Temporary - Transcribe information from magnetic tape on to paper form and file in mission folders. Erase magnetic tapes. Retain folders in office area as long as needed for current operation, then transfer to Records Center in 1 cubic foot lots. Destroy 4 years after receipt in Records Center.
B. Magnetic tapes from which final reports of each ELINT collection mission are compiled. Period covered - 1963 to present.	100.0	Temporary - Retain in current files area indefinitely. When considered to be of no current value to retain in files area, transfer to Records Center for a period of 4 years. Recall from Records Center for reuse or destruction.
HEPC Report Files	·	
Consists of technical reports evolving from raw ELINT data prepared for the use of the Intelligence Community. Provides support to Agency ELINT technical operations and is disseminated to offices of interest in the Agency, such as SIGINT Highlights, HEPC Information Reports (EL-Bs), Technical Analysis Reports, Technical Reports derived from raw data		
	Consists of materials collected and maintained by individual analysts and used in the analysis of intelligence toward the preparation of final reports. Material consists of field operator logs, navigation plots, overlays, dispatches, cables, laboratory analysis, work reports, magnetic tapes of ELINT missions, etc. These are filed numerically by the field assigned mission number. A. Mission folders that document final reports of each ELINT collection mission. Period covered - 1961 to present. B. Magnetic tapes from which final reports of each ELINT collection mission. Period covered - 1963 to present. HEPC Report Files Consists of technical reports evolving from raw ELINT data prepared for the use of the Intelligence Community. Provides support to Agency ELINT technical operations and is disseminated to offices of interest in the Agency, such as SIGINT Highlights, HEPC Information Reports (EL-Bs), Technical Analysis Reports	Consists of materials collected and maintained by individual analysts and used in the analysis of intelligence toward the preparation of final reports. Material consists of field operator logs, navigation plots, overlays, dispatches, cables, laboratory analysis, work reports, magnetic tapes of ELINT missions, etc. These are filed numerically by the field assigned mission number. A. Mission folders that document final reports of each ELINT collection mission. Period covered - 1961 to present. B. Magnetic tapes from which final reports of each ELINT collection mission are compiled. Period covered - 1963 to present. HEPC Report Files Consists of technical reports evolving from raw ELINT data prepared for the use of the Intelligence Community. Provides support to Agency ELINT technical operations and is disseminated to offices of interest in the Agency, such as SIGINT Highlights, HEPC Information Reports (EL-Bs). Technical Analysis Reports

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20	HEPC Report Files (Cont'd)		The state of the s
	prepared for use of Intelligence Community and published through NSA.		
da	A. <u>History File</u> - One copy of each final report will be set aside for archival purposes.	5.0	Permanent - Disposal not authorized. Upon release, a copy will be sent to Records Center.
	B. Extra Copies - These copies will be used for supplemental distributions.	ı.	
	(1) Supplemental Distribution - Ten copies of each report will be set aside for this activity.	2.0	Temporary - Destroy after 7 years. All unused copies of these reports will be destroyed 7 years from publication date by the Records Center.
7	(2) Reference File - One copy of each report will be filed in AND/OEL for ready reference purposes.	2.0	Temporary - Destroy after 2 years. Cut off at end of each calendar year. Hold in office area for 2 more years, then destroy.
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FORM NO. 139a USE PREVIOUS 1 JAN 56

ITEM NO.	FILES IDENTIFICATION	-RDP78-0	7317A000100290001-2 DISPOSITION INSTRUCTIONS
21	Cable Reference Files		
	These files consist of all incoming and outgoing cables received by OEL from the various Agency cable centers. Cable files are held by the office components for quick reference purposes but the official cable reference files are maintained by the OEL Registry. These cables are maintained strictly for reference purposes and are not the official "record copies". The "record copies" are filed in the substantive files of the responsible office component. Cables are filed chronological ly by station. Period covered: 1970 to present.	-	
	A. Registry Files (1) Project cables originated by or sent to the These cables serve as the official Agency cable reference file.	37.0	Temporary. Destroy after 25 years. Cables will be retained in current files area for six (6) months and then microfilmed. Hard copy of filmed cable will be destroyed within one (1) year after verification of the microfilm. If necessary, the hard copy may be retired to the Records Center for no longer that one (1) year and then destroyed. One (1) copy of the microfilm file is retained in the Registry and a duplicate original negative is sent to the Records Center.
	(2) Project cables originated by or sent to contractors and other government agencies. (These are copies of Reference Cables maintained under OD&E RC Schedule 97 Item 9)		Temporary. Break files monthly, retain for one (1) year and then destroy.
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21 22	Cable Reference Files con't		
	(3) Other cables, e.g., State, Defense, OPCEN, CONCEN, and Director.	2.0	Temporary. Break files monthly, retain for six (6) months and then destroy.
	B. Office component cable files	1.0	Temporary. Maintain in current files area for one (1) month and destroy.
			Approved: DD/S&T Records Management Officer
	·	APF	STATINTL ROVE: Records Administration Branch
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OLD SCHEDULE AND ITEM NO(S).	NEW ITEM NO.	FILES IDENTIFICATION CLASSIFICATION	VOLUME	DISPOSITION INSTRUCTIONS		
92-70	22	SUPPLEMENTAL EQUIPMENT MANUALS These manuals contain, operating instructions and schematics for electronic equipment used by OEL. Each piece of equipment is required thave its own manual; therefore, one manual is retained in the component for reference and the remaining manuals for the duplicate equipment are set aside for future use. (If the pieces of equipment are issued outside the office, a manual must accompany each for use and maintenance instructions.)	o	Temporary. Transfer duplicated manuals to the Records Center Review every 2 years to avoic accumulation of outdated and obsolete manuals.		
		STATINTL Approved: APPROVED	12/9/74	12/11/74 Date		
ORM 139a OBSOLETE		RECORDSp & WE & PEOP 78-		00100290001-2		